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## The black hole mass of BL Lac objects from the stellar velocity dispersion of the host galaxy

R. Falomo

*Osservatorio Astronomico di Padova, Vicolo dell'Osservatorio 5, 35122 Padova, Italy*

[falomo@pd.astro.it](mailto:falomo@pd.astro.it)

J.K. Kotilainen

*Tuorla Observatory, University of Turku, Väisäläntie 20, FIN-21500 Piikkiö, Finland*

[jarkot@astro.utu.fi](mailto:jarkot@astro.utu.fi)

and

A. Treves

*Università dell'Insubria, via Valleggio 11, 22100 Como, Italy*

[treves@mib.infn.it](mailto:treves@mib.infn.it)

### ABSTRACT

The correlation between black hole mass  $M_{BH}$  and stellar velocity dispersion  $\sigma$  in nearby elliptical galaxies affords a novel way to determine  $M_{BH}$  in active galaxies. We report on measurements of  $\sigma$  from optical spectra of 7 BL Lac host galaxies. The derived values of  $\sigma$  are in the range of 160 – 290 km s<sup>-1</sup> corresponding to  $M_{BH}$  of  $5 \times 10^7$  to  $1 \times 10^9 M_\odot$ . The average ratio of  $M_{BH}$  to the host galaxy mass is  $1.4 \times 10^{-3}$ , consistent with that estimated in other active and inactive galaxies. The velocity dispersions and the derived values of  $M_{BH}$  of the BL Lacs are similar to those obtained for low redshift radio galaxies, in good agreement with the predictions of the unified models for radio-loud active galaxies.

*Subject headings:* BL Lacertae objects – galaxies:active – galaxies: elliptical and lenticular – galaxies: kinematics and dynamics – galaxies:nuclei

## 1. Introduction

The mass of the central black hole (BH) is of paramount importance in theoretical models of AGN. In particular, the dependence of BH mass ( $M_{BH}$ ) on the global host galaxy properties provides clues to the role of BHs in galaxy formation and evolution. Dynamical determination of  $M_{BH}$  in AGN is difficult, because of the bright emission from the nucleus. The main method that has proved to be successful for AGN is reverberation mapping of broad emission lines, which is extremely time consuming and gives results on  $M_{BH}$  that depend on the assumed geometry of the accretion disk. Therefore, only for a few well studied quasars and Seyfert galaxies  $M_{BH}$  is known (see e.g. Kaspi et al. 2000; Nelson 2000; Wandel 2002 and references therein). Reverberation mapping cannot be employed for BL Lac objects because they lack prominent broad emission lines, therefore other methods need to be applied. The discovery of a correlation between  $M_{BH}$  and the luminosity of the bulge in nearby early-type galaxies (e.g. Magorrian et al. 1998) offered a new tool for evaluating  $M_{BH}$  (see the recent reviews by Merritt & Ferrarese 2001 [hereafter MF01]; Kormendy & Gebhardt 2001). This correlation has been applied so far for a sample of nearby quasars (McLure & Dunlop 2001) and BL Lacs (Treves et al. 2001).

Recently, a stricter correlation was found relating  $M_{BH}$  with the stellar velocity dispersion  $\sigma$  of the spheroidal component in nearby inactive galaxies (Gebhardt et al. 2000; Ferrarese & Merritt 2000). This relationship clearly demonstrates a connection between BHs and bulges of galaxies and has spurred a substantial effort in theoretical modelling (e.g. Silk & Rees 1998; Hähnelt & Kauffmann 2000; Adams, Graff & Richstone 2001). The relationship appears to predict more accurately  $M_{BH}$ , but requires the measurement of  $\sigma$  in the host galaxies of AGN that is difficult to obtain, in particular for objects at moderate or high redshift and with very luminous nuclei. On the other hand, BL Lacs have relatively fainter nuclei than quasars (e.g. Falomo et al. 1999), and for them this measurement (at least for low redshift objects) can be secured with a single spectrum observable with a medium-sized telescope.

We present here medium resolution optical spectroscopy of the host galaxies of 7 BL Lac objects from which we derive the stellar velocity dispersion. According to the shape of their spectral energy distribution (SED), BL Lacs are broadly distinguished into two types (see Giommi & Padovani 1995) : those whose SED peaks at near-infrared/optical and the  $\gamma$ -ray MeV regions (low frequency peaked BL Lacs or LBL), and those that have SED peaking in the UV/X-ray and the  $\gamma$ -ray TeV energies (called high frequency peaked BL Lacs or HBL). Our selection of nearby ( $z < 0.2$ ) BL Lacs includes 5 HBL and 2 LBL. For all observed targets high quality images have been obtained either from the ground (Falomo & Kotilainen 1999) or with HST + WFPC2 (Urry et al. 2000; Falomo et al. 2000). From these images, the

characterization of the host galaxies and the nuclear luminosity can be obtained.

## 2. Observations and data analysis

The observations were obtained in June 2001 using the 2.5m Nordic Optical Telescope (NOT) equipped with ALFOSC<sup>1</sup>. Spectra were secured using two grisms to cover the spectral ranges 4800 – 5800 Å (setup A) and 5700 – 8000 Å (setup B) at 0.54 Å pixel<sup>-1</sup> and 1.3 Å pixel<sup>-1</sup> dispersion, respectively. This allows us to measure the absorption lines of H $\beta$  (4861 Å), Mg I (5175 Å), Ca E-band (5269 Å), Na I (5892 Å) and the TiO + CaI (6178 Å), TiO + FeI (6266 Å) and other absorption line blends from the host galaxies at a spectral resolution  $R \sim 3000$ .

The chosen grisms combined with a 1" slit yield a spectral resolution for velocity dispersion measurement of  $\sim 60 - 80$  km s<sup>-1</sup>, which is adequate for the expected range of  $\sigma$  in luminous ellipticals (e.g. Djorgovski & Davis 1987; Bender, Burstein & Faber 1992) such as the hosts of BL Lacs. In addition to the spectra of the BL Lac hosts, we acquired spectra of bright stars of type G8-III to K1-III, that exhibit a low rotational velocity ( $V \times \sin(i) < 20$  km s<sup>-1</sup>). These are used as templates of zero velocity dispersion. Furthermore, spectra of the well studied nearby elliptical galaxy NGC 5831 were also secured in order to provide a test of the adopted procedure to derive  $\sigma$ .

During the observations, seeing ranged between 1" and 1.5". The targets were centered into the slit or positioned 1" away from the nucleus and then the 1D spectrum was extracted from an aperture of 3" - 5" diameter, which is in all cases within the effective radius of the host galaxy. In one case (Mkn 180), spectra with the object both centered into the slit and off-centered by 1" were taken but no significant difference was apparent in the shape of the spectral features. Standard data reduction was applied to the spectra using the tasks available in the IRAF<sup>2</sup> package. The procedure includes bias subtraction, flat-fielding, wavelength calibration and extraction of 1D spectra. For each observation, we took two spectra and combined them in order to remove cosmic ray hits and other occasional spurious signals in the detector. In Table 1 we report the list of our targets together with the instrumental setup and the S/N of each spectrum derived from the continuum in the middle of the observed spectral range.

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<sup>1</sup>See URL <http://www.not.iac.es> for instrument characteristics

<sup>2</sup>IRAF is distributed by the National Optical Astronomy Observatories, which are operated by the Association of Universities for Research in Astronomy, Inc., under cooperative agreement with the National Science Foundation.

The stellar velocity dispersion  $\sigma$  was determined using the Fourier Quotient method (e.g. Sargent et al. 1977) implemented in the IRAF STSDAS package. The spectra were first normalized by subtracting the continuum, converted to a logarithmic scale and then multiplied by a cosine bell function that apodizes 10% of the pixels at each end of the spectrum. Finally, the Fourier Transform of the galaxy spectra was divided by the Fourier Transform of template stars and  $\sigma$  was computed from a  $\chi^2$  fit with a Gaussian broadening function (see Bertola et al. 1984; Kuijken & Merrifield 1993 for further details on this method). The *r.m.s.* scatter of the  $\sigma$  results using different template stars was typically  $\sim 10 \text{ km s}^{-1}$  and can be considered as the minimum uncertainty of the measurement. The observed values of  $\sigma$  and their estimated errors are reported in the last column of Table 1.

For three objects we have spectra in both spectral ranges. The resulting stellar velocity dispersions are in all cases in good agreement, with average difference  $12 \text{ km s}^{-1}$ , ensuring sufficient homogeneity of data taken with different grisms and/or resolution. Note, however, that there is a tendency for the red grism (lower resolution) data to result in slightly larger value of  $\sigma$ . For the nearby elliptical NGC 5831 we obtained  $\sigma = 167 \pm 5$  and  $185 \pm 10 \text{ km s}^{-1}$  for the setup A and B, respectively. These values are in good agreement with previous measurements in the literature ( $< \sigma > = 168 \text{ km s}^{-1}$ ; Prugniel et al. 1998). In Fig. 1 we show an example of the spectrum of the BL Lac object Mrk 501 compared with that of the elliptical galaxy NGC 5831 observed in both spectral ranges.

Since early-type galaxies exhibit some gradients in velocity dispersion (Davies et al. 1983; Fisher, Illingworth & Franx 1995), the measured value of  $\sigma$  depends somewhat on the distances of the galaxies and the size of the used aperture. In order to compare our values of  $\sigma$  with the data available in literature (in particular with the MF01 relationship), we applied aperture corrections according to the procedure given in Jørgensen, Franx & Kjaergaard (1995). The individual measurements of  $\sigma$  are therefore corrected to a circular aperture with a metric diameter of  $1.19h^{-1} \text{ kpc}$ , equivalent to  $3.4''$  at the distance of the Coma cluster to derive central velocity dispersion  $\sigma_c$ , given in column 3 of Table 2. When measurements of  $\sigma$  in two spectral ranges are available, the average is reported.

No previous systematic study of the stellar velocity dispersion in BL Lacs is available although recently Barth, Ho & Sargent (2002) report optical spectroscopy for Mrk 501. These authors measured a value of  $\sigma = 372 \pm 18 \text{ km s}^{-1}$  which differs significantly from ours ( $\sigma = 291 \pm 13 \text{ km s}^{-1}$ ). Their measurement of  $\sigma$ , derived from the region  $5200 - 5600 \text{ \AA}$ , could be reconciled with our value, given their large scatter ( $81 \text{ km s}^{-1}$ ) fitting the data in this range. On the other hand the higher  $\sigma$  value obtained from the Ca II triplet lines (8498, 8542 and  $8662 \text{ \AA}$ ), that are partly blended with telluric absorptions, appears inconsistent with our value within the estimated errors. Applying aperture correction to the value of

Barth et al the difference of *sigma* becomes even larger (by  $\sim 15 \text{ km s}^{-1}$ ).

### 3. Results and discussion

We have adopted the relationship between  $M_{BH}$  and  $\sigma_c$  found for nearby early-type galaxies that is based on optical spectroscopy (MF01):

$$M_{BH} = 1.48 \pm 0.24 \times 10^8 (\sigma/200)^{4.65 \pm 0.48} [\text{M}_\odot] \quad (1)$$

We assume that this relationship is also valid for AGN (see e.g. MF01) and in particular for BL Lacs. This is consistent with our imaging studies of BL Lacs (Falomo & Kotilainen 1999; Urry et al. 2000; Falomo et al 2000), indicating that all our objects are hosted by luminous ellipticals. The derived values of  $M_{BH}$  are reported in column 4 of Table 2, where the errors are the composition in quadrature of uncertainties in  $\sigma$  and in the MF01 relationship. Using the Gebhardt et al. (2000) relationship instead of the one by MF01, tends to yield slightly lower values of  $M_{BH}$  but does not substantially modify our main conclusions. The values of  $M_{BH}$  in Table 2 span a factor  $\sim 20$  from  $5 \times 10^7 \text{ M}_\odot$  for PKS 2201+04 to  $9 \times 10^8 \text{ M}_\odot$  for Mrk 501.

As mentioned above,  $M_{BH}$  is also correlated, but with a larger scatter, with the luminosity of the bulge of the host galaxy. The host galaxy absolute magnitude  $M_R$  (uncorrected for extinction) and the effective radii  $R_e$  of the 7 BL Lacs are given in columns 5 and 6 of Table 2.  $M_{BH}$  was thus calculated following the relationship by McLure & Dunlop (2002):

$$\log M_{BH} = -0.50 \pm 0.05 M_R - 2.91 \pm 1.23 [\text{M}_\odot] \quad (2)$$

The corresponding values of  $M_{BH}$  are given in column 7 of Table 2. For most sources the difference of  $M_{BH}$  derived with the two methods is within the estimated uncertainty. The average values of  $M_{BH}$  for our BL Lacs derived, respectively, from  $\sigma$  and the host luminosity are:  $\langle \log M_{BH} \rangle_\sigma = 8.62 \pm 0.23$  and  $\langle \log M_{BH} \rangle_{host} = 8.66 \pm 0.25$ .

In two cases (I Zw 187 and PKS 2201+04) a factor of  $\sim 3$  difference in  $M_{BH}$  is found. We note that for PKS 2201+04 we derive a significantly lower velocity dispersion with respect to the rest of the observed sources leading to a low  $M_{BH}$ . On the other hand, for this target  $\sigma$  is well determined (good S/N data and the two spectral ranges giving similar result).

In our sample there are two LBL type and five HBL type BL Lacs (column 2 of Table 2). With the caveat that the number of studied objects is very small, we find no significant difference of  $M_{BH}$  between the two types of BL Lacs.

The measurements of  $\sigma$  combined with the effective radii of the host galaxies can be

used to estimate the mass of the hosts through the relationship (Bender et al. 1992) :

$$M_{host} = 5\sigma^2 r_e / G \quad (3)$$

This dynamical mass (column 8 of Table 2) turns out to be in the range of  $1 - 4 \times 10^{11} M_\odot$ . The ratio between  $M_{BH}$  and  $M_{host}$  is in the range of  $0.5 - 3.6 \times 10^{-3}$ , with  $\langle M_{BH}/M_{host} \rangle = 1.4 \times 10^{-3}$ . This is in good agreement with values derived for both AGN and inactive galaxies ( $\langle M_{BH}/M_{host} \rangle = 1.2 \times 10^{-3}$ ; McLure & Dunlop 2001; MF01).

In the unified model of radio-loud AGN, BL Lacs are believed to be drawn from the population of radio galaxies according to orientation effects (e.g. Urry 1999). It is therefore interesting to compare orientation-independent properties, such as the velocity dispersion of the host galaxy, of BL Lac and radio galaxy populations. We show in Fig. 2 the comparison of the host luminosity  $M_R$  vs.  $\log \sigma$  (the Faber-Jackson relationship) for the BL Lacs with respect to a large sample of low redshift radio galaxies (Bettoni et al. 2001). Both samples follow quite well the original Faber & Jackson (1976) correlation. The similarity of the distributions of  $\sigma$  for these two samples (Fig. 3) furthermore implies that the distributions of  $M_{BH}$  in radio galaxies and BL Lacs are indistinguishable, consistent with the model that both types of AGN belong to the same population but are observed from different orientation angles.

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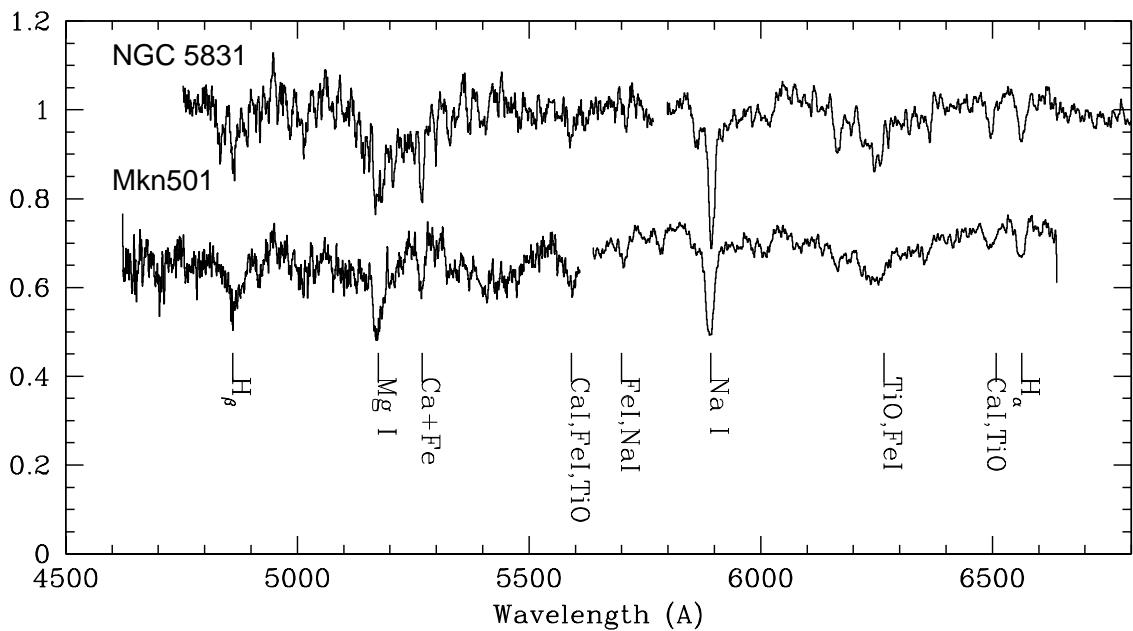


Fig. 1.— Optical spectra of the BL Lac object Mrk 501 ( $z = 0.034$ ) and of the nearby elliptical galaxy NGC 5831 ( $z = 0.0055$ ). The spectra are normalized to the continuum and plotted in the rest frame.

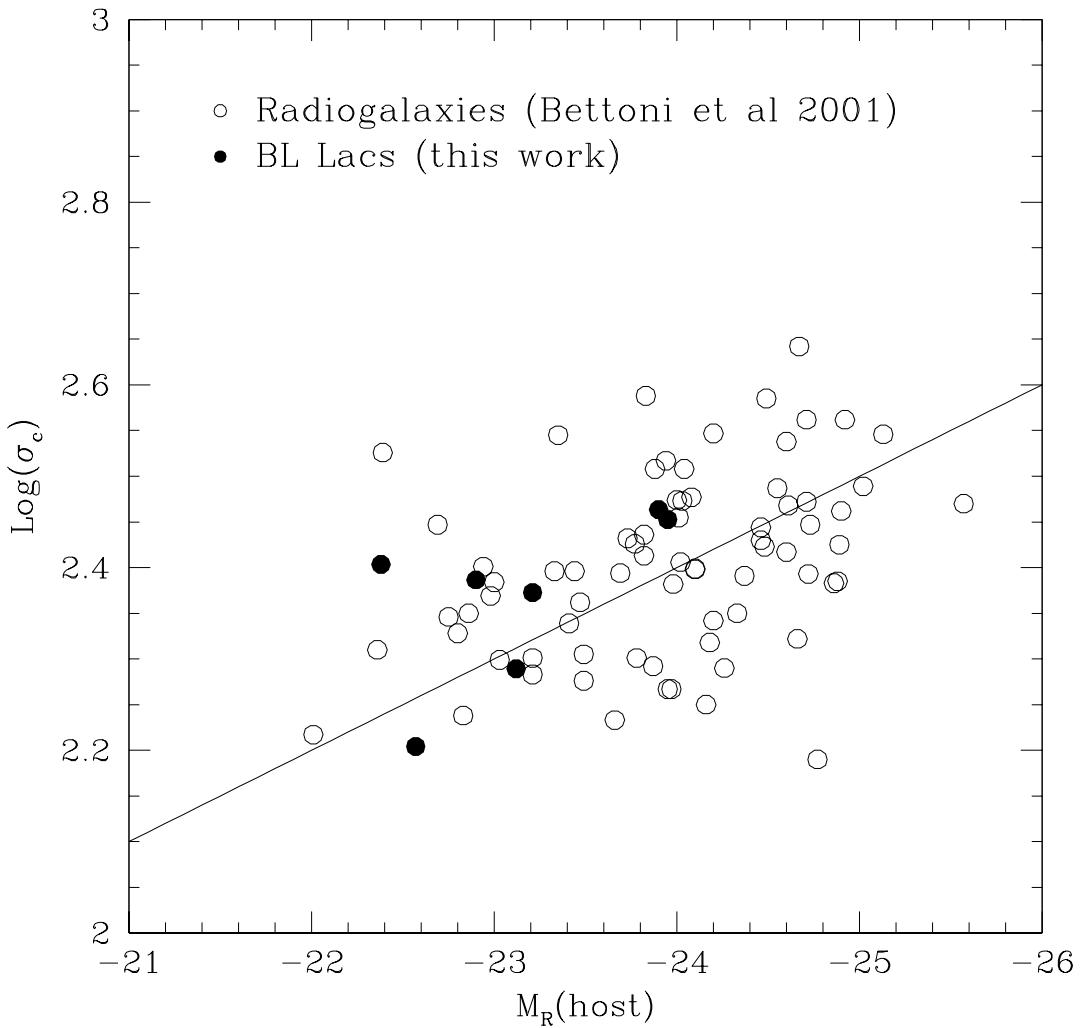


Fig. 2.— The host galaxy stellar velocity dispersion  $\sigma_c$  vs. the  $R$ -band absolute magnitude for the BL Lacs (filled circles) and for low redshift radio galaxies (Bettoni et al. 2001; open circles). The solid line indicates the original Faber & Jackson (1976) relationship, transformed into the  $R$ -band.

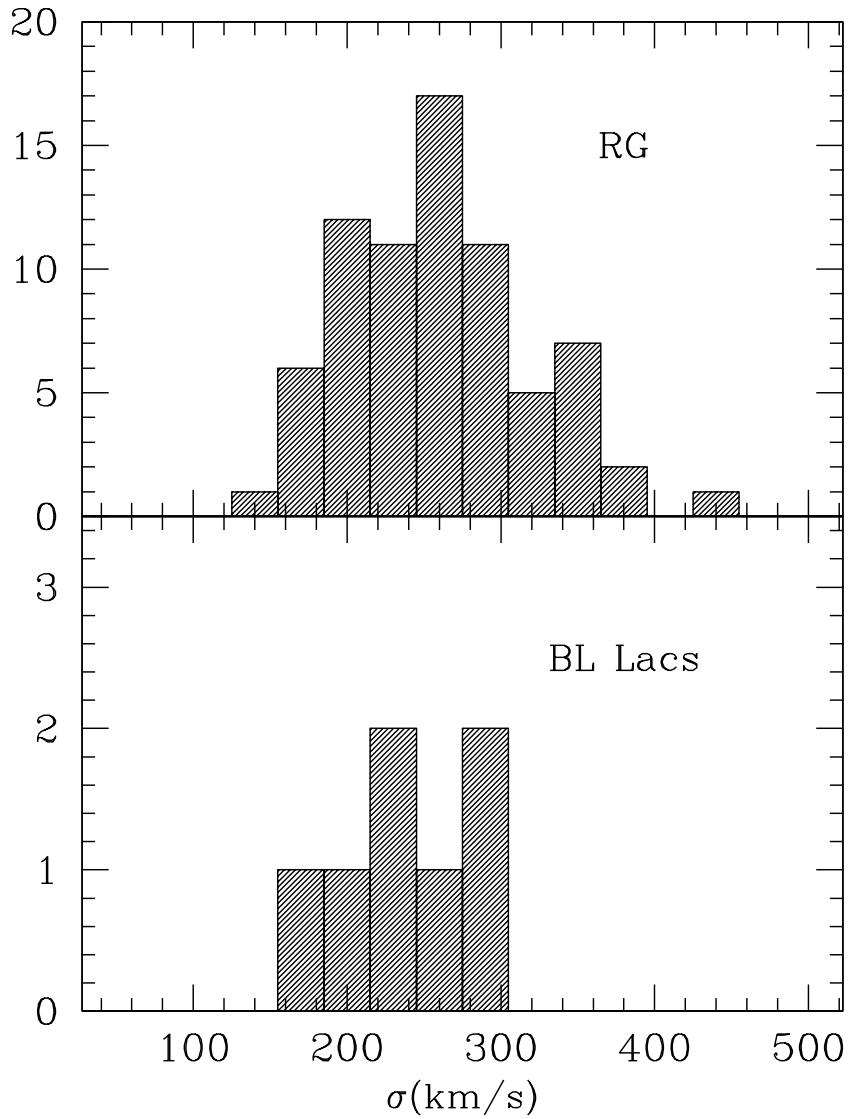


Fig. 3.— The distribution of the stellar velocity dispersion  $\sigma_c$  of low redshift radio galaxies (Bettoni et al. 2001; *upper panel*) compared with that of the BL Lacs studied in this paper (*lower panel*).

Table 1. Journal of observations and results.

Object	z	Setup	Exposure (sec)	S/N	$\sigma$ km s $^{-1}$
NGC 5831	0.0055	A	600	45	167±5
			B 600	55	185±10
Mrk 421	0.031	B	3600	40	220±10
Mrk 180	0.045	A	3600	40	225±10
Mrk 501	0.034	A	2400	40	265±10
		B	3600	55	280±15
I Zw 187	0.055	B	3600	30	253±15
3C 371	0.051	A	2400	40	255±15
		B	3600	35	265±20
1ES 1959+65	0.048	B	2400	18	180±15
PKS 2201+04	0.027	A	3600	30	148±5
			B 2400	50	153±8

Table 2. Velocity dispersion and BH masses of BL Lacs

Object	LBL/HBL	$\sigma_c$ km s $^{-1}$	$\log(M_{BH})_\sigma$ [M $_\odot$ ]	M $_R$	R $_e$ kpc	$\log(M_{BH})_{bulge}$ [M $_\odot$ ]	$\log(M_{(host)})$ [M $_\odot$ ]
Mrk 421	HBL	236±10	8.50±0.18	-23.12	3.4	8.65	11.20
Mrk 180	HBL	244±10	8.57±0.19	-22.81	5.0	8.50	11.45
Mrk 501	HBL	291±13	8.93±0.21	-23.87	15	9.00	11.59
I Zw 187	HBL	253±15	8.65±0.18	-22.22	4.7	8.20	11.39
3C 371	LBL	284±18	8.88±0.20	-23.67	2.9	8.90	11.32
1ES 1959+65	HBL	195±15	8.12±0.13	-22.48	6.6	8.30	11.27
PKS 2201+04	LBL	160±7	7.72±0.13	-22.36	5.1	8.27	11.00